

**UTILITY
PATENT APPLICATION
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First Named Inventor or Application Identifier

Duponchelle et al.

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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 32
2. ☒ Drawing(s) (35USC 113) Total Pages 3
3. ☒ Declaration and Power of Attorney Total Pages 3
- a. ☒ Newly executed(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)
[Note Box 4 Below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
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4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a
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5. ☒ Assignment Papers (cover sheet & documentation)
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(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$760.00
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CHARLES R. MATTESON, ESQ.
BAXTER INTERNATIONAL INC.
ONE BAXTER PARKWAY
DEERFIELD, IL 60015
847/948-3315

SIGNATURE:

ROBERT M. BARRETT (REG. NO. 30,142)

DATE: September 10, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INVENTION: "BICARBONATE-BASED SOLUTION IN TWO PARTS FOR PERITONEAL DIALYSIS OR SUBSTITUTION IN CONTINUOUS RENAL REPLACEMENT THERAPY"

Assistant Commissioner for Patents

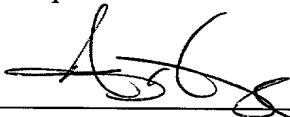
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I hereby certify that the following documents relating to the above-identified application were deposited in the United States Postal Service Express Mail "Post Office to Addressee" on September 10, 1999:

1. Utility Patent Application Transmittal (in duplicate);
2. Specification (32 pages);
3. Drawings (3 sheets; Figs. 1-4);
4. Unexecuted Declaration and Power of Attorney form (3 pages);
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SPECIFICATION

TITLE

5 **"BICARBONATE-BASED SOLUTION IN TWO PARTS
FOR PERITONEAL DIALYSIS OR SUBSTITUTION
IN CONTINUOUS RENAL REPLACEMENT THERAPY"**

BACKGROUND OF THE INVENTION

660760" E42E6E60
10 The present invention relates generally to peritoneal dialysis and renal replacement therapies, such as hemofiltration and hemodiafiltration. More specifically, the present invention relates to manufacturing and storing bicarbonate-based solutions for peritoneal dialysis and hemofiltration.

15 To overcome the disadvantages often associated with classical hemodialysis, other techniques were developed, such as peritoneal dialysis and hemofiltration. Peritoneal dialysis utilizes the patient's own peritoneum as a semipermeable membrane. The peritoneum is the membranous lining of the body cavity that, due to the large number of blood vessels and capillaries, is capable of acting as a natural semipermeable membrane.

20 In peritoneal dialysis, a sterile dialysis solution is introduced into the peritoneal cavity utilizing a catheter. After a sufficient period of time, an exchange of solutes between the dialysate and the blood is achieved. Fluid removal is achieved by providing a suitable osmotic gradient from the blood to the dialysate to permit water outflow from the blood. This allows a proper acid-base, electrolyte and fluid balance to be returned to the blood. The dialysis solution is simply drained from the body cavity through the catheter.

25 Hemofiltration is a convection-based blood cleansing technique. Blood access can be venovenous or arteriovenous. As blood flows through the hemofilter,

5 a transmembrane pressure gradient between the blood compartment and the ultrafiltrate compartment causes plasma water to be filtered across the highly permeable membrane. As the water crosses the membrane, it convects small and large molecules across the membrane and thus cleanses the blood. An excessive amount of plasma water is eliminated by filtration. Therefore, in order to keep the body water balanced, fluid must be substituted continuously by a balanced electrolyte solution (replacement or substitution fluid) infused intravenously. This substitution fluid can be infused either into the arterial blood line leading to the hemofilter (predilution) or into the venous blood line leaving the hemofilter.

10 In addition to the removal of metabolic products, one of the most important problems of every kidney replacement therapy, such as hemodialysis, hemofiltration and peritoneal dialysis, lies in the correction of metabolic acidosis. For this reason, the dialysis solutions used in each of these processes contain a buffer.

15 Three common buffers often used in dialysis solutions are bicarbonate, lactate, and acetate. While initially bicarbonate was the primary buffer used in dialysis solutions, over time lactate and acetate were used as substitutes for bicarbonate. This was due to the difficulty in preparation and storage of bicarbonate-buffered dialysis solutions. Lactate and acetate buffers were found to provide greater stability in use over the previous bicarbonate-buffered solutions. See United States Patent No. 5,211,643.

20 However, since bicarbonate ions provide advantages over acetate or lactate ions, bicarbonate is again surfacing as the primary buffer used in dialysis solutions. Tests have been conducted that indicate patients exhibit a better tolerance for bicarbonate dialysis solutions. In patients with a multiple organ failure, bicarbonate-buffered solutions are preferred because of the lack of metabolic interference.

25

Further, certain treatments require sterile dialysis solutions containing bicarbonate, calcium and magnesium.

For example, one may have to dialyze a uremic patient who has developed hypotension and lactate acidosis. In such a patient, the lactate or acetate in conventional dialysates may not be metabolized to bicarbonate because of tissue hypoxia, and acidosis may be further worsened because bicarbonate is removed during dialysis. Using bicarbonate-containing dialysates in such a patient will add bicarbonate to the blood and also remove lactate. For these reasons, some researchers have recommended bicarbonate-buffered dialysis as adjunctive treatment for severe lactate acidosis. T.S. Ing. et al, *Bicarbonate-buffered peritoneal dialysis*, The International Journal of Artificial Organs, Volume 8, No. 3, p. 121-124 (1985).

Another potential application of bicarbonate-buffered solutions may be for patients who experience abdominal pain or discomfort when conventional acetate- or lactate-buffered dialysates are infused. The abdominal pain may be related to the unphysiologically high acidity of acetate- and lactate-buffered dialysates. Conceivably, bicarbonate-buffered dialysate, with its more physiologic pH, might decrease the incidence of such symptoms. *Id.*

The use of medical bicarbonate solutions for injection or for dialysis treatment is known. However, due to the difficulty in preparation and storage of these solutions, a vast array of literature is dedicated to attempts to remedy the stability problem of bicarbonate solutions. Three main problems need to be addressed when manufacturing and storing medical bicarbonate solutions.

First, in solution, bicarbonate is in equilibrium with CO₂ gas, which easily escapes from the solution. As a result, carbonate, a potential irritant, may form, and the pH of the solution is thereby increased. To avoid these phenomena from occurring, the bicarbonate can be stored in a powder form until just before use as

described in U.S. Patent No. 4,489,535 and Jonsson et al, European Patent Application 0 278 100 for machine-controlled dialysis.

Alternatively, an impermeable gas barrier can be used to protect the solution. Or, for hemodialysis, the CO₂ content of the solution can be controlled as described in Murphy et al, *Use of An Artificial Kidney*, J Lab. Clin. Med., Volume 40, pp. 436-444 (1952). U.S. Patent No. 4,584,176 and European Patent No. 0 209 607 describe controlling the CO₂ content of a bicarbonate-based solution. Moreover, the addition of buffers, such as glycylglycine, has been proposed to further stabilize the bicarbonate solution. See U.S. Patent No. 4,959,175.

Still further, another approach focuses on generating carbon dioxide within a container to stabilize bicarbonate solutions. See U.S. Patent No. 5,383,324. In the '324 patent, a device can be used to generate and maintain carbon dioxide pressure within a container. The device can either be positioned within a container that houses the bicarbonate solution or within an overpouch that surrounds the container filled with the bicarbonate solution. The device can be used before, during or after steam sterilization.

Second, bicarbonate solutions for injection and for dialysis generally contain calcium and/or magnesium ions. In the presence of bicarbonate, these ions form calcium carbonate and magnesium carbonate, respectively, which at increased pHs typically precipitate from the solution. To initially remedy this problem, bicarbonate solutions are often made from concentrates, ranging from slightly concentrated, two-fold or less, to much more concentrated solutions. Bicarbonate on the one hand and calcium and/or magnesium on the other hand are included in separate concentrates. These concentrates are then mixed to obtain a ready-to-use solution. Alternatively, the concentrates are mixed and diluted or diluted and mixed.

In order to avoid the precipitation of carbonate salts, some have suggested the bicarbonate concentrate should be acidified when manufactured. See U.S. Patent No. 5,211,643. Specifically, the '643 patent proposes adjusting the pH value of the bicarbonate concentrate to less than 7.6 by addition of a physiologically tolerable acid.

Alternatively, others have proposed to leave the pH of the bicarbonate concentrate unadjusted. See United States Patent 4,489,535. Left unadjusted, the pH of the bicarbonate concentrate is about 8.0 – 8.4. The Merck Index, 12th Ed., Merck Research Laboratories, Whitehouse Station, NJ, p. 1472 (1996); Boen ST, *A clinical study of factors governing its effectiveness*, Peritoneal Dialysis, p. 76, Van Gorcum & Comp, Assen, The Netherlands (1959); Odel HM et al., *Peritoneal lavage as an effective means of extrarenal excretion. A clinical appraisal*, American Journal of Medicine, 9, 63-77 (1950). The unadjusted bicarbonate concentrate is then mixed with an acid or acidified concentrate, either before or after dilution if dilution is needed. Acidification has been achieved with organic acids (acetic acid, lactic acid), inorganic acids (hydrochloric acid) or with carbon dioxide. Ing. et al, *Bicarbonate-buffered peritoneal dialysis*, Volume 8, No. 3, p. 121-124 (1985).

The problem with both of these approaches is that the bicarbonate based solution needs a gas barrier, either as a primary container or as an overwrap.

In case of accidental damage to the gas barrier, the bicarbonate concentrate will lose carbon dioxide, and the pH will increase. Upon mixing of the acid concentrate with the bicarbonate concentrate, the pH of the bicarbonate concentrate will no longer match with the pH of the acid concentrate. The mixed solution will no longer be in the physiologic range, and a calcium carbonate precipitate will form immediately upon mixing.

To avoid gas barrier damage, thick containers have been used instead of flexible containers. However, these do not collapse upon draining and therefore need to be vented for use in peritoneal dialysis or hemofiltration. This has the inherent risk of infection.

5 U.S. Patent No. 5,296,242 to Zander describes the use of a stable aqueous solution in the form of two separately stored single solutions, one containing a metabolizable organic acid, the other alkali bicarbonate and alkali carbonate. The '242 patent relates to adjusting the pH of the dextrose compartment with an organic acid; the dextrose compartment is adjusted to a pH range of 4.0 to 6.0. Not only do
10 the inventors believe a physiological solution will not be achieved with such a high pH for the dextrose component, problems arise from the use of organic acids. For example, in patients with liver failure, the body has difficulty in metabolizing organic acids, and it is therefore preferable to have all buffer available as bicarbonate. In case of peritoneal dialysis, the presence of organic acids and
15 dextrose in the same container will enhance the formation of glucose degradation products, which in turn may damage the peritoneal membrane.

Third, bicarbonate solutions for injection and for certain types of dialysis need to be sterile. Sterile filtration, steam sterilization, radiation or another suitable sterilization method may be used. When steam sterilization is used, many substances
20 cannot be autoclaved together with bicarbonate. Therefore, the solution must be sterilized in at least two parts: one part containing the bicarbonate; and another part containing the incompatible substances(s), such as dextrose. In practice, two containers can be used, or alternatively, multi-compartment containers can be used. See U.S. Patent Nos. 4,396,383 and 4,465,488.

25 In light of the problems associated with bicarbonate-based solutions, bicarbonate solutions for peritoneal dialysis are typically either prepared in the

hospital just before use or are stored in a two-chamber container made of a steam-sterilizable gas barrier material. Of course, preparing the bicarbonate solution in the hospital is time-consuming and poses sterility problems. On the other hand, the steam-sterilizable gas barrier materials are expensive and the barrier may be
5 accidentally lost due to damage during production and/or transportation.

Therefore, a need still exists for an improved way to manufacture and store bicarbonate-based solutions.

SUMMARY OF THE INVENTION

The present invention provides devices and methods for stabilizing
10 bicarbonate-based peritoneal dialysis solutions. Specifically, the present invention relates to a new way to formulate a bicarbonate-based solution for peritoneal dialysis or hemofiltration. The solution of the present invention is formulated and stored in at least two parts -- an alkaline bicarbonate concentrate and an acidic concentrate. The invention focuses on the adjustment of the chemical parameters and the pH
15 levels of the concentrates, so that upon mixing, the two concentrates always provide a solution with an acceptable pH in the physiologic range. The invention surprisingly avoids the need for carbon dioxide addition to the bicarbonate solution as well as the use of an expensive gas barrier material, which were used previously to maintain the stability of a bicarbonate-based solution. Also, it does not require the
20 use of organic acids or other undesired substances.

The present invention provides a two-part bicarbonate containing solution. The first part of the solution is housed in a first container. The first part includes an alkaline bicarbonate concentrate whose pH is adjusted to about 8.6 to 10.0. The second part of the solution is housed in a second container. The second part includes
25 an acidic concentrate having a pH effective to obtain, when the first part and the second part are mixed together, a mixed solution having a pH ranging from about 6.5

to 7.6. The pH of the acidic concentrate preferably ranges from about 1.0 to 3.0. In a preferred embodiment, the first and second containers are two chambers of a multi-chamber container.

5 The pH of the alkaline bicarbonate is chemically adjusted upwards at the time of manufacture to more alkaline values. Initially, when prepared, the bicarbonate concentrate has a pH of 8.0-8.4. Chemically increasing the pH of the bicarbonate component upwards is indeed counter intuitive as the desired pH range for the mixed solution is 6.5 to 7.6 (close to the pH of blood) which is well below the pH of a freshly made bicarbonate solution. Further, container interactions generally
10 increase at more extreme pH values. Nevertheless, the inventors found that adjusting of the bicarbonate component to these alkaline pH values, combined with a dextrose component at a low pH yields a stable product that does not need a gas barrier.

In one embodiment, the pH of the bicarbonate-based concentrate is chemically adjusted to about 8.6, and allowed to adjust further over time during
15 storage. The inherent instability of the concentrate effectively increases the pH with time and storage due to carbon dioxide loss until it reaches a steady state level of about 9 to 10. The pH naturally rises during storage when the solution is housed in a gas permeable container. However, the initial adjustment allows to obtain a pH in a physiological range (6.5-7.6) after mixing.

20 Alternatively, the alkaline bicarbonate concentrate is adjusted by adding a physiologically acceptable base to the aqueous solution containing sodium bicarbonate having an original pH of about 8.0-8.4 to increase the pH thereof to a pH of about 9.0 to 10.0.

25 The inventors have found that when the bicarbonate concentrate is either formulated or naturally allowed to obtain a pH of about 9.0 to 10.0, the pH of the bicarbonate-based concentrate is in a steady state and is in equilibrium with the

ambient air. With a bicarbonate concentrate starting at about pH 8.6, although there is some variation in the pH of the mixed bicarbonate solution, the inventors have discovered that with the appropriate selection of the parameters of the concentrates, particularly the pH of the dextrose concentrate, the pH of the mixed solution is
5 always within an acceptable physiological pH range (6.5-7.6). The invention again focuses on the adjustment of the chemical parameters and the pH levels of the concentrates.

In a preferred embodiment, the present invention provides a multi-chamber container for storing a bicarbonate-based solution for peritoneal dialysis or
10 hemofiltration. The container includes a first chamber housing an alkaline bicarbonate concentrate. The alkaline bicarbonate concentrate is adjusted to have a pH in a range of about 8.6 to 10.0. The second chamber of the multi-chamber container houses an acidic concentrate. The acidic concentrate has a pH of about 1.0-3.0, so that, when the alkaline bicarbonate concentrate is mixed with the acidic
15 concentrate, a mixed solution having a pH in a physiologic range of about 6.5 to 7.6 is obtained. In a preferred embodiment, the acidic concentrate has a pH of about 1.3 to 2.5.

The present invention also provides a method for stabilizing bicarbonate solutions. The method includes the step of housing an alkaline bicarbonate
20 concentrate in a first container and housing an acidic concentrate in a second container. The pH of the alkaline bicarbonate concentrate is adjusted to a range of about 8.6 to 10.0. Further, the acidic concentrate is adjusted to a pH effective to obtain, when the alkaline bicarbonate concentrate is mixed with the acidic concentrate, a mixed solution having a pH ranging from about 6.5 to 7.6. Preferably,
25 the acidic concentrate has a pH ranging from about 1.0 to 3.0.

An advantage of the present invention is that it provides new apparatuses and methods for formulating a bicarbonate-based peritoneal dialysis solution.

Another advantage of the present invention is that it avoids the use of an expensive gas barrier material to maintain the stability of bicarbonate-based solutions. Such steam sterilizable gas barrier materials are expensive, rarely fail proof and are not required when using the present invention. The invention therefore solves the problem of maintaining the physical integrity of the gas barrier materials during manufacturing and storage. Consequently, the invention allows for the production of sterile bicarbonate-based peritoneal dialysis solutions in a manufacturing plant at very low cost and to ship a chemically stable product to the hospital or a patient's home.

Yet another advantage of the present invention is that it ensures that the bicarbonate solution can be stored for long periods of time, while it makes a gas barrier redundant. The two-part solution is stable for more than six months.

Moreover, an advantage of the present invention is that a ready-to-use bicarbonate-based solution with a physiologic pH range can be obtained without using expensive gas barrier materials.

Additional features and advantages of the present invention are described in, and will be apparent from, the detailed description of the presently preferred embodiments as well as the drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates a multi-chamber bag for storing a two-part bicarbonate solution made pursuant to the present invention.

FIG. 2 graphically illustrates the pH level shift of bicarbonate solutions tested in Experiment No. 1.

FIGS. 3 and 4 graphically illustrate the respective pH levels of bicarbonate concentrates, acidic concentrates and mixed solutions for testing conducted in Experiment No. 5.

**DETAILED DESCRIPTION OF
THE PRESENTLY PREFERRED EMBODIMENTS**

The present invention provides both apparatuses and methods for stabilizing bicarbonate-based solutions. The solutions are formulated and stored in at least two parts -- an alkaline bicarbonate concentrate and an acidic concentrate. The two parts are housed in two separate containers. The bicarbonate concentrate is adjusted to have a pH ranging from about 8.6 to 10.0. Whereas, the acidic concentrate is formulated at a stable, acidic pH, so that upon mixing of both concentrates, a mixed solution with a pH in a narrow physiologic range is obtained. The acidic concentrate has a pH ranging from about 1.0 to 3.0. The mixed solution is prepared by mixing the concentrates just before use.

The two part bicarbonate-containing solution of the present invention are formulated and stored separately, and then are mixed just prior to use. A variety of containers can be used to house the two parts of the bicarbonate-containing solution, such as separate containers (i.e. flasks or bags) that are connected by a suitable fluid communication means. Preferably, a multi-chamber container is used to house the two concentrates.

Figure 1 illustrates a suitable container for storing a bicarbonate-based solution. The multi-chamber 10 has a first chamber 12 and a second chamber 14. The interior of the container is divided by a heat seal 16 into the two chambers. The multi-chamber container 10 also has a frangible connector 18 between the first chamber 12 and the second chamber 14. To mix the solution within the chambers 12 and 14, the frangible connector 18 is broken. The transfer of product is thereby

initiated from the first chamber 12 to the second chamber 14. The multi-chamber 10 houses at least two non-compatible solutions that after mixture will result in a ready-to-use dialysis solution. An example of the multi-chambered container 10 is set forth in U.S. Patent No. 5,431,496, the disclosure of which is incorporated herein
5 by reference. The container 10 can be made from a gas permeable material, such as polypropylene. Alternatively, both containers can be separated by a peel seal, which is broken before use by the patient.

Although the multi-chamber container disclosed herein is designed to be used for any medical procedure requiring bicarbonate, the embodiment illustrated in
10 Figure 1 is conveniently used for peritoneal dialysis and hemofiltration. To this end, in an embodiment, the first chamber 12 contains a dextrose concentrate, whereas the second chamber contains a bicarbonate concentrate. In a preferred embodiment, the first chamber 12 further includes calcium chloride, magnesium chloride and a physiologically tolerable acid to adjust the pH of the acidic concentrate. The second
15 chamber 14 can further include sodium chloride and lactate. In an embodiment, the bicarbonate concentrate also includes a physiologically tolerable base to adjust the pH of bicarbonate concentrate within the desired range.

The bicarbonate-based concentrate is adjusted upwards to have a pH ranging from about 8.6 to 10.0. The pH of the bicarbonate concentrate can be
20 adjusted in two ways. The pH of the bicarbonate-based concentrate can be chemically adjusted to 8.6, and allowed to adjust further over time during storage. The inherent instability of the concentrate effectively increases the pH with time and storage due to carbon dioxide loss until it reaches a steady state level of about 9 to 10. The pH naturally rises during storage when the solution is housed in a gas
25 permeable container. However, the initial adjustment allows one to obtain a pH in a physiological range (6.5-7.6) after mixing. Alternatively, the alkaline bicarbonate

concentrate is adjusted by adding a physiologically acceptable base to the aqueous solution containing sodium bicarbonate to increase the pH thereof to a pH of about 9.0 to 10.0.

5 The bicarbonate concentrate is stable for long periods of time without the use of either a gas barrier material or the addition of carbon dioxide. The inventors have found that the concentrates are stable for over 6 months.

10 In accordance with the present invention, the bicarbonate concentrate contains sodium bicarbonate, sodium chloride and sodium lactate. In an embodiment, the bicarbonate concentrate also includes a physiologically acceptable base to adjust the pH of the bicarbonate concentrate to the desired alkaline range. Any strong base that is physiologically acceptable for the patient's treatment can be used to adjust the pH of the bicarbonate concentrate. Suitable bases that can be used include, for example, sodium hydroxide, potassium hydroxide, calcium hydroxide, and magnesium hydroxide.

15 In order to achieve a physiological pH for the mixed bicarbonate solution, the dextrose concentrate is formulated at a stable, acidic pH. The pH of the acidic concentrate is chosen, so that upon mixing of both concentrates, a mixed solution with a pH in a physiologic range is obtained. Preferably, the mixed solution has a pH ranging from about 6.5 to 7.6 at 25°C. The inventors have determined that when
20 an acidic concentrate having a pH of about 1.0 to 3.0 is combined with the bicarbonate concentrate, a physiological pH is obtained for the mixed solution. In a preferred embodiment, the pH of the acidic concentrate ranges from about 1.3 to 2.5.

25 The acidic concentrate preferably contains dextrose, calcium chloride, magnesium chloride and a physiologically tolerable acid to adjust the pH of the acidic concentrate. A variety of strong inorganic acids can be used to adjust the

acidic concentrate to the appropriate pH. Suitable acids that can be used, for example, hydrochloric acid, sulphuric acid, nitric acid, hydrogen bromide and hydrogen iodide.

5 The bicarbonate concentrate and the dextrose concentrate are mixed together to form a ready-to-use solution for peritoneal dialysis or hemofiltration. Preferably, the two concentrates are mixed in a ratio ranging from 1:50 to 50:1(volume:volume); more preferably, the two concentrates are mixed in a 1:1 to 1:3 (volume:volume) ratio. In an embodiment, the subsequent dialysis solution contains the following ingredients in the identified amount: bicarbonate (5 to 45
10 mM); calcium (0.2 to 2.0 mM); sodium (100 to 150 mM); magnesium (0 to 1.5 mM); potassium (0 to 4.5 mM); chloride (70 to 120 mM); lactate (0 to 60 mM); and acetate (0 to 60 mM). The dialysis solutions have a physiologically acceptable pH ranging from about 6.5 to 7.6.

15 Pursuant to the present invention, any osmotic agent can be used in the solution. The peritoneal dialysis solution includes an osmotic agent in an amount of 0.1 to 20 weight percent. Preferably, suitable osmotic agents are glucose, glucose polymers, modified starch, amino acids, peptides, and glycerol.

20 The inventors have identified that with the appropriate selection of the parameters of the concentrates, a two-part bicarbonate-based solution can be stored for long periods of time and can be stored in a gas permeable container. The invention thus relies on the adjustment of the chemical parameters and the pH levels of the concentrates. The adjustments are made, so that upon mixing, the two concentrates always provide a solution with an acceptable physiological pH range.

25 By way of example, and not limitation, experimental testing conducted to demonstrate the effectiveness of the present invention will now be set forth.

EXPERIMENT NO. 1: pH OF THE ALKALINE CONCENTRATE

This experiment was conducted to evaluate the evolution of pH levels of bicarbonate concentrates stored in gas permeable containers. If bicarbonate based solutions are not protected by a gas barrier material, the pH level increases during storage. With this experiment, the inventors determined that while the pH levels do increase, they reach a maximum pH level.

Figure 2 presents pH levels over time of a 50 mM bicarbonate concentrate, stored in gas permeable containers. With time, a maximum pH of about 9-10 is reached. Likewise, if a 50 mM carbonate concentrate, with a pH of 11 is stored in a gas permeable container, pH decreases over time to the same values.

EXPERIMENT NO. 2: MIXED SOLUTION WITH PHYSIOLOGIC pH

Based on the range between the original and ending pH of the bicarbonate concentrate from Experiment 1, the inventors have determined they can formulate stable, acidic concentrates that will, when mixed with the bicarbonate concentrate, produce mixed solutions with physiological pH levels. This experiment demonstrates that when a bicarbonate concentrate with a pH of about 8.6-10.0 is mixed with a dextrose concentrate at a pH of about 1.3-2.5, a mixed solution with a physiological pH level of 6.5-7.6 is obtained.

The tested bicarbonate and dextrose concentrates and the mixed solution had the composition detailed in Table 1.

TABLE 1: Composition of solutions

DEXTROSE concentrate		
Dextrose.H2O	84.94	g/l
CaCl2.2H2	0.369	g/l
MgCl2.6H2	0.102	g/l
HCl (adj.)	21.2	mEq/l

BICARBONATE concentrate		
NaCl	9.51	g/l
Na Lactate	3.36	g/l
NaHCO ₃	4.68	g/l
NaOH (adj)	15.6	mEq/l
MIXED SOLUTION		
Dextrose.H ₂ O	42.5	g/l
Calcium	1.25	mM
Magnesium	0.25	mM
Chloride	95	mM
Sodium	132	mM
Lactate	15	mM
Bicarbonate	25	mM

The concentrates were stored separately, and the final tested solutions were obtained after mixing the concentrates in a 1:1 (volume : volume) ratio.

Table 2 sets forth the pH levels of the bicarbonate and dextrose concentrates as well as the final solutions tested in this experiment.

TABLE 2: pH of final bicarbonate/dextrose solution

pH of bicarbonate concentrate	8.6	8.6	8.8	8.9	9.1	9.3	9.5	10.0	10.0
pH of dextrose concentrate	1.7	2.5	1.7	1.7	1.7	1.7	1.7	1.3	1.5
pH of final mixed solution	6.5	7.6	6.6	6.7	6.8	7.1	7.4	6.5	7.6

EXPERIMENT NO. 3 : SOLUTION FOR MEDICAL USE

This experiment demonstrates that when a bicarbonate concentrate with a pH of about 9.5 is mixed with a dextrose concentrate at about pH 1.7, not only a

mixed solution with a physiological pH level of about 7.3 is obtained, but the solution is suitable for medical use and free from particulate material or calcium carbonate precipitate.

The nominal composition of the dextrose concentrate and the bicarbonate concentrate are listed in Tables 3 and 4 below.

TABLE 3 – Sterile Dextrose Concentrate

COMPOSITION	
Glucose.H ₂ O	85.00 g/l
CaCl ₂ .2H ₂ O	0.368 g/l
MgCl ₂ .6H ₂ O	0.102 g/l
pH(at ambient conditions)	1.7*

*: the pH of the solution was adjusted using HCl .

TABLE 4 – Sterile Bicarbonate Concentrate

COMPOSITION	
Sodium chloride	10.76 g/l
L-Sodium lactate	3.36 g/l
Sodium bicarbonate	4.20 g/l
pH (at ambient conditions)	pH=9.5*

*: the pH of the solution was adjusted using NaOH .

The two concentrates were mixed in a 1:1 (volume : volume) ratio and analyzed. The mixed solution was then centrifuged for 5 minutes at 4000G to remove any precipitating material and analyzed again. The results from this experiment are detailed in Table 5 below.

TABLE 5 -Results

	Dextrose concentrate	Bicarbonate concentrate	Mixed solution (immediately after mixing	Mixed solution (after centrifugation)
pH (at ambient temperature)	1.7	9.5	7.3	7.6
Calcium chloride.2H ₂ O (g/L)	0.361	0	0.182	0.184
Particles greater than 10 μ (/mL)*	3	6	19	0
Particles greater than 25 μ (/mL)*	0	2	0	0

*: Pharmacopeia limit: not more than 25 particles/mL greater than 10 μ and not more than 3 particles/mL greater than 25 μ

Table 5 demonstrates that after centrifugation of the mixed solution, the calcium chloride content is maintained, showing the absence of calcium precipitation upon mixing.

EXPERIMENT NO. 4

This experiment was conducted to further evaluate whether a precipitate of calcium carbonate would form when bicarbonate and dextrose solutions, which were adjusted to various pH levels, were mixed at a 1:1 ratio. Analyses performed in this experiment included visual inspection, pH determination, instrumental particle counting, microscopic assay for particulate matter per USP 23 <788>, calcium determination by Inductively Coupled Plasma-Atomic Emission Spectroscopy ("ICP-AES"), and an examination of isolated particulate matter via

scanning electron microscopy with energy dispersive x-ray spectrometry ("SEM/EDXS").

Test Solutions

The following test solutions were prepared:

5 Solution A (Bicarbonate Concentrate pH 9.5) - 9.5 g/l Sodium Chloride, 3.36 g/l Sodium Lactate (or 5.6 g/l of 60% purity), 4.68 g/l Sodium Bicarbonate, pH adjusted with 1N NaOH to 9.5.

 Solution B (Dextrose Concentrate pH 1.6-1.7) - 84.9 g/l of Dextrose Hydrous (or 77.2 g/l Anhydrous), 0.368 g/l Calcium Chloride dihydrate, 0.102 g/l
10 Magnesium Chloride Hexahydrate, pH Adjusted with HCl to about 1.65.

 Solution C (Dextrose Concentrate pH 5.5-6.0)-84.9 g/l of Dextrose Hydrous (or 77.2 g/l Anhydrous), 0.368 g/l Calcium Chloride dihydrate, 0.102 g/l Magnesium Chloride Hexahydrate.

 Solution D (Carbonate Concentrate pH 11.0) - 9.5 g/l Sodium Chloride,
15 3.36 g/l Sodium Lactate (or 5.6 g/l of 60% purity), 5.83 g/l Sodium Carbonate.

 For each of the test solutions, the volume of the mixed reagents was brought to 2 liters with Nanopure water in a volumetric flask. Then, a final pH measurement was taken, which is identified below for each solution, and the resulting solution was recirculated through a 0.40 micron retention rated filter prior
20 to any mixing or analysis.

Test Articles

 Test articles were prepared by combining 100mL volumes of the test solutions together in a glass container. The dextrose solution was added to a glass container first followed by addition of the bicarbonate solution. The solutions were
25 then inverted 20 times to ensure complete mixing. The test mixtures were kept at

ambient conditions and all testing was performed on the same day of mixing. Table 6 below sets forth the various test articles.

TABLE 6

Test Article	Bicarbonate or Carbonate	Dextrose Concentrate
AB	pH 9.5 (A)	pH ~ 1.65 (B)
AC	pH 9.5 (A)	pH 5.5-6.0 (C)
CD	pH 11.0 (D)	pH 5.5-6.0 (C)

ANALYSIS OF TEST SOLUTIONS AND TEST ARTICLES

A variety of tests were conducted on each test solution and test article. Specifically, each test solution and test article was analyzed by visual inspection, pH determination, instrumental particle counting, USP microscopic assay for particulate matter, calcium determination by ICP-AES, and an examination of isolated particulate matter via SEM/EDXS. The test articles were evaluated prior to and after filtration through a 0.45 micron polycarbonate filter. The results of the analyses performed on the test solutions and articles are set forth in Table 7 below.

TABLE 7

Sample ID	pH	Visual inspection for crystalline precipitate	USP Microscopic Analysis (particles/mL)		Instrumental Particle Counting (particles/mL)		Calcium Concentration (g/l)	Presence of calcium carbonate by SEM/EDXS
			≥10μm	≥25μm	≥10μm	≥25μm		
USP Limits		Absent	12	2	25	3		
Test Solution A	9.5	Absent	0.4	0.0	0.7	0.1	0.001	Negative
Test Solution B	1.6	Absent	0.0	0.0	0.2	0.0	0.356	Negative
Test Solution C	5.7	Absent	0.3	0.0	0.3	0.0	0.351	Negative
Test Solution D	11.0	Absent	0.3	0.0	0.3	0.1	0.001	Negative

	AB Mixed, Pre-Filtration	7.1	Absent	0.1	0.0	6.5	0.5	0.167	Negative
	AB Mixed, post-Filtration	7.5	Absent	0.0	0.0	4.4	0.4	0.177	Negative
5	AC Mixed, Pre-Filtration	9.5	Small white particles	TNTC	TNTC ¹	800.3	27.0	0.132	Positive
	AC Mixed, Post-Filtration	9.5	Absent	TNTC	TNTC	1230.7	0.0	0.094	Positive
10	CD Mixed, Pre-Filtration	10.6	Small white particles	TNTC	TNTC	209.2	5.1	0.119	Positive
	CD Mixed, Post-Filtration	10.5	Small white particles	2.1	0.4	833.8	7.5	0.087	Positive

¹TNTC: Too numerous to count.

15 These test results demonstrate the absence of the formation of a calcium carbonate precipitate for the test article (AB Mixed) made in accordance with the present invention. The visual inspection results indicate that no crystalline precipitate was detected. The results of the particle enumeration for both the microscopic evaluation and instrumental particle counting were well below the limits. The results
20 of the ICP-AES analysis show that the calcium concentration in the mixed solution was about half of the concentration in the dextrose concentrate, either before or after filtration. Given the 1:1 mixing, this indicates that no calcium precipitated. In addition, the test article was filtered on polycarbonate filters and examination of the filters for the presence of calcium carbonate by SEM/EDXS was also negative. Thus,
25 based on the assays performed, no calcium carbonate precipitate was detected in the test article made pursuant to the present invention, which was obtained by mixing a bicarbonate concentrate at about pH 9.5 and a dextrose concentrate containing calcium and magnesium at about pH 1.65.

30 In contrast, calcium carbonate precipitation did form in the comparative test articles, namely test article AC and test article DC. In these test articles, a

bicarbonate solution adjusted to a pH of 9.5 (test article AC) or a carbonate solution having a pH of 11.0 (test article DC) were mixed with a dextrose concentrate having a pH of 5.5 to 6.0. All particle enumeration results for both of the test articles were above acceptable limits and a calcium carbonate precipitate was identified.

EXPERIMENT NO. 5: LONG TERM STORAGE

This experiment demonstrates that when a bicarbonate and dextrose concentrate, made according to the present invention, are stored for 6 months, a mixed solution with a pH in the physiological range of 6.5-7.6 is obtained over the entire period studied.

Embodiment 1. A bicarbonate-based concentrate with a pH of 8.6 was prepared. pH was allowed to adjust further over time during storage. However, the initial adjustment allows one to obtain a pH in a physiological range (6.5-7.6) after mixing.

The container was presented as a two-chambered bag with a peel seal separating the chambers. The pH of the bicarbonate and dextrose containing solutions were matched in order to obtain a physiological pH after mixing (1:1 volume ratio). The tested sterile dextrose and bicarbonate concentrates had the compositions and pH adjustments detailed in Tables 8 and 9, respectively.

TABLE 8 - Sterile Dextrose Concentrate

COMPOSITION		
Glucose.H ₂ O	g/l	85.00
CaCl ₂ .2H ₂ O	g/l	0.368
MgCl ₂ .6H ₂ O	g/l	0.102
pH at ambient conditions		2.0*

*: the pH of the solution was adjusted using HCl .

TABLE 9 – Sterile Bicarbonate Concentrate

COMPOSITION	
Sodium chloride g/l	10.76
L-Sodium lactate g/l	3.36
Sodium bicarbonate g/l	4.20
pH adjusted to (at ambient conditions)	8.6

The sterile containers were stored under controlled conditions at 25 °C and 40°C - 60% relative humidity. Periodically, the bicarbonate and the dextrose concentrates were evaluated. The mixed solution obtained by manual mixing of equal volumes of dextrose and bicarbonate solution (ratio 1:1) was also evaluated.

The following observations were obtained after storage of the containers for six months. Table 10 sets forth the respective pH levels at the defined time of storage. And, Figure 3 presents the pH levels of the different alternatives during 6 months of storage at 25 °C.

TABLE 10

Period (month)	Bicarbonate	Mixed solution	Dextrose
0	8.6	7.1	2.0
1	8.7	7.1	2.0
3	8.9	7.3	2.0
6	9.1	7.3	2.0

As expected, the pH level of the bicarbonate concentrate in Embodiment No. 1 is moving with time and storage.

After mixing the bicarbonate concentrates of Embodiment 1 with the matching dextrose concentrate, a physiological pH level is obtained during the entire period studied.

Embodiment 2. The pH of the bicarbonate concentrate was adjusted to about 9.5 by adding sodium hydroxide. After mixing with the acidified dextrose solution, a mixed solution with a physiological pH is obtained over the entire study period of 6 months.

The container was presented as a two-chambered bag with a peel seal separating the chambers. The pH of the bicarbonate and dextrose containing solutions were matched in order to obtain a physiological pH after mixing (1:1 volume ratio). The tested sterile dextrose and bicarbonate concentrates had the compositions and pH adjustments detailed in Tables 11 and 12, respectively.

TABLE 11 – Sterile Dextrose Concentrate

COMPOSITION	
Glucose.H ₂ O	85.00 g/l
CaCl ₂ .2H ₂ O	0.368 g/l
MgCl ₂ .6H ₂ O	0.102 g/l
pH at ambient conditions	1.7*

*: the pH of the solution was adjusted using HCl .

TABLE 12 – Sterile Bicarbonate Concentrate

COMPOSITION	
Sodium chloride g/l	10.76
L-Sodium lactate g/l	3.36
Sodium bicarbonate g/l	4.20
pH (at ambient conditions)	pH=9.5 *

*: the pH of the solution was adjusted using NaOH .

The tested sterile containers were stored under controlled conditions at 25°C and 40°C - 60% relative humidity. Periodically, the bicarbonate and the dextrose concentrates were evaluated. The mixed solution obtained by manual mixing of equal volumes of dextrose and bicarbonate solution (ratio 1:1) was also evaluated. Table 13 sets forth the respective pH levels for Embodiment 2 at the defined time of storage.

TABLE 13

Period (month)	Bicarbonate	Mixed solution	Dextrose
0	9.5	7.3	1.7
1	9.5	7.4	1.7
3	9.5	7.4	1.7
6	9.5	7.2	1.7

Figure 4 demonstrates that the pH level of the bicarbonate concentrate of Embodiment No. 2 is maintained at 9.5 after sterilization and after 6 months of storage. After mixing the bicarbonate concentrates of alternative 2 with the matching dextrose concentrate, a physiological pH level is obtained.

Overall, the results demonstrate the feasibility of manufacturing and storing the bicarbonate-based solution of the present invention in a plastic material. The bicarbonate-based solution can be prepared in a plastic material, without a carbon dioxide gas barrier, provided that the concentrates are adequately adjusted (pH levels, levels of ingredients).

Examples of suitable formulations for peritoneal dialysis solutions are given above. By way of example, and not limitation, the following formulations are given for a substitution fluid for continuous renal replacement therapy.

Component	Formulation 1	Formulation 2
Compartment 1		
Ca ⁺⁺	3 mmol/l	3 mmol/l
Mg ⁺⁺	1 mmol/l	1 mmol/l
Cl ⁻	8 mmol/l	8 mmol/l
Glucose (g/l)	2 g/l	0
Compartment 2		
Na ⁺	280 mmol/l	280 mmol/l
K ⁺	0	4 mmol/l
Cl ⁻	230 mmol/l	204 mmol/l
HCO ₃ ⁻	50 mmol/l	80 mmol/l
Mixed solution (1:1)		
Na ⁺	140 mmol/l	140 mmol/l
K ⁺	0	2 mmol/l
Ca ⁺⁺	1.5 mmol/l	1.5 mmol/l
Mg ⁺⁺	0.5 mmol/l	0.5 mmol/l
Cl ⁻	119 mmol/l	106 mmol/l
HCO ₃ ⁻	25 mmol/l	40 mmol/l
Glucose (g/l)	1 g/l	0

In summary, based on the above results, the inventors conclude that a bicarbonate-based solution can be prepared in a plastic material without CO₂ gas barrier, provided that the concentrates are adequately adjusted (pH levels and levels of ingredients).

It should be understood that various changes and modifications to the presently preferred embodiments described herein will be apparent to those skilled in the art. Such changes and modifications can be made without departing from the spirit and scope of the present invention and without diminishing its attendant advantages. It is therefore intended that such changes and modifications be covered
5 by the appended claims.

WE CLAIM:

1. A two-part bicarbonate containing solution, the solution comprising:

5 a first part housed in a first container, the first part including an alkaline bicarbonate concentrate having a pH ranging from about 8.6 to 10.0;

a second part housed in a second container, the second part including an acidic concentrate having a pH ranging from about 1.0 to 3.0, the pH of the acidic concentrate effective to obtain, when the first part and the second part are mixed together, a mixed solution having a pH ranging from 6.5 to 7.6.

10 2. The solution of Claim 1 wherein the first container is a first chamber of a multi-chamber container and the second container is a second chamber of the multi-chamber container.

3. The solution of Claim 1 wherein the mixed solution comprises:
bicarbonate mM 5 to 45; and
15 calcium mM 0.2 to 2.0.

4. The solution of Claim 1 wherein the mixed solution comprises:
bicarbonate mM 5 to 45;
calcium mM 0.2 to 2.0;
sodium mM 100 to 150;
20 magnesium mM 0 to 1.5;
potassium mM 0 to 4.5;
chloride mM 70 to 120;
lactate mM 0 to 60; and
acetate mM 0 to 60.

5. The solution of Claim 1 further comprising an osmotic agent selected from the group consisting of: glucose; glucose polymers; modified starch; amino acids; peptides; and glycerol.

6. The solution of Claim 1 wherein the first and second containers
5 are constructed of gas permeable material.

7. A multi-chamber container for storing a bicarbonate solution, the container comprising:

a first chamber housing an alkaline bicarbonate concentrate, the alkaline bicarbonate concentrate having a pH ranging from about 8.6 to 10.0; and

10 a second chamber housing an acidic concentrate, the acidic concentrate having a pH ranging from about 1.0 to 3.0.

8. The multi-chamber container of Claim 7 wherein the acidic concentrate has a pH effective to obtain, when the alkaline bicarbonate concentrate is mixed with the acidic concentrate, a mixed solution having a pH ranging from about
15 6.5 to 7.6.

9. The multi-chamber container of Claim 7 wherein each of the chambers is constructed from a gas permeable material.

10. The multi-chamber container of Claim 7 wherein the alkaline bicarbonate solution comprises: sodium chloride; sodium lactate; and sodium
20 bicarbonate.

11. The multi-chamber container of Claim 7 wherein the acidic concentrate comprises: glucose; calcium chloride; magnesium chloride; and an acid.

12. A method for stabilizing bicarbonate solutions, the method comprising the steps of:
25 housing an alkaline bicarbonate concentrate in the first container,

adjusting the pH of the alkaline bicarbonate concentrate to a range of about 8.6 to 10.0;

housing an acidic concentrate in the second container, and

adjusting the acidic concentrate to a pH ranging from about 1.0 to 3.0.

5 13. The method of Claim 12 wherein the step of adjusting the acidic concentrate is further defined by adjusting the acidic concentrate to a pH effective to obtain, when the alkaline bicarbonate concentrate is mixed with the acidic concentrate, a mixed solution having a pH ranging from about 6.5 to 7.6.

10 14. The method of Claim 12 wherein the first container is a first chamber of a multi-chamber container and the second container is a second chamber of the multi-chamber container.

15 15. The method of Claim 12 wherein the first and second containers are constructed from a gas permeable material.

 16. The method of Claim 12 further comprising the step of steam
15 sterilizing the first and second containers.

 17. The method of Claim 12 wherein the alkaline bicarbonate solution comprises: sodium chloride; sodium lactate; and sodium bicarbonate.

 18. The method of Claim 12 wherein the acidic concentrate comprises: glucose; calcium chloride; magnesium chloride; and an acid.

20 19. The method of Claim 12 wherein the step of adjusting the pH of the bicarbonate concentrate further comprises adding a physiologically acceptable base to the bicarbonate concentrate having an original pH of 8.0-8.4 to increase the pH thereto to the pH ranging from about 8.6 to 10.

25 20. The method of Claim 12 wherein the step of adjusting the pH of the acidic concentrate further comprises adding a physiologically acceptable acid to

the acidic concentrate having an original pH of about 4.0-7.0 to decrease the pH of the acidic concentrate to the pH ranging from about 1.0 to 3.0.

ABSTRACT OF THE DISCLOSURE

The present invention provides devices and methods for stabilizing bicarbonate-based solutions for peritoneal dialysis or hemofiltration. The bicarbonate-based solutions of the present invention are formulated and stored in at least two parts

5 -- an alkaline bicarbonate concentrate and an acidic concentrate. The alkaline bicarbonate concentrate is adjusted to have a pH of about 8.6 to 10.0. The acidic concentrate is adjusted to have a stable, acidic pH ranging from about 1.0 to 3.0. Upon mixing, although some variation in the pH of the mixed bicarbonate solution exists, the inventors have discovered that with the appropriate selection of the

10 parameters of the concentrates, the pH of the mixed solution is always within an acceptable physiological range.

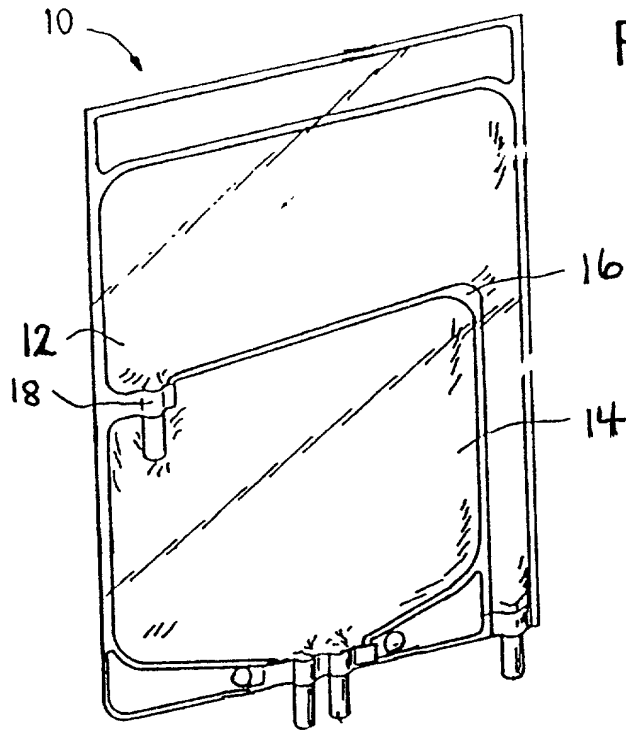


FIG. 1

FIG. 2

pH shift of alkaline concentrates

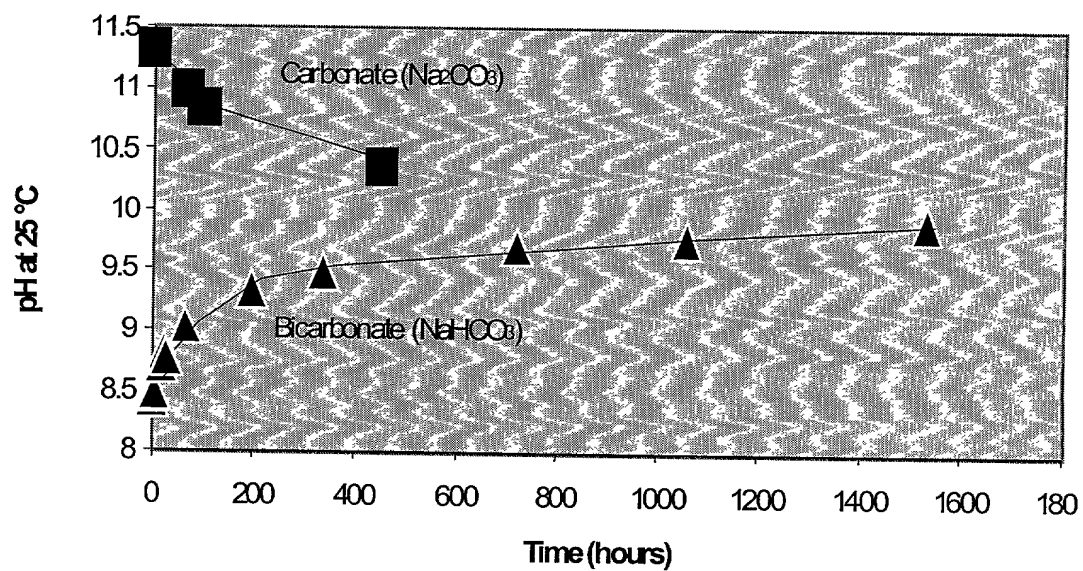


Fig. 3

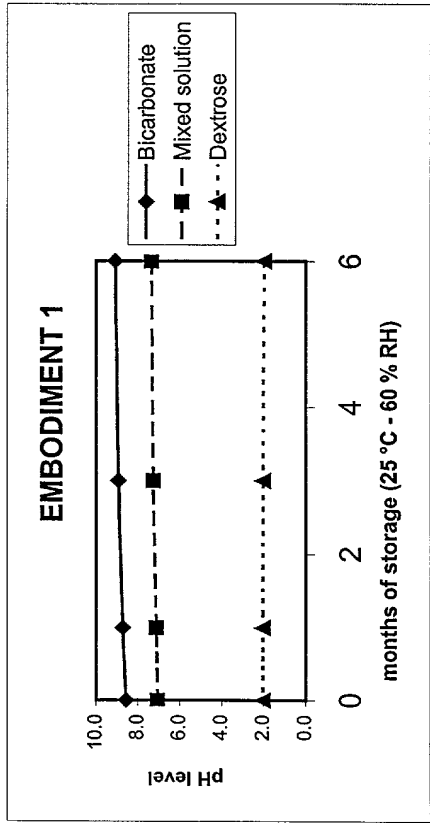
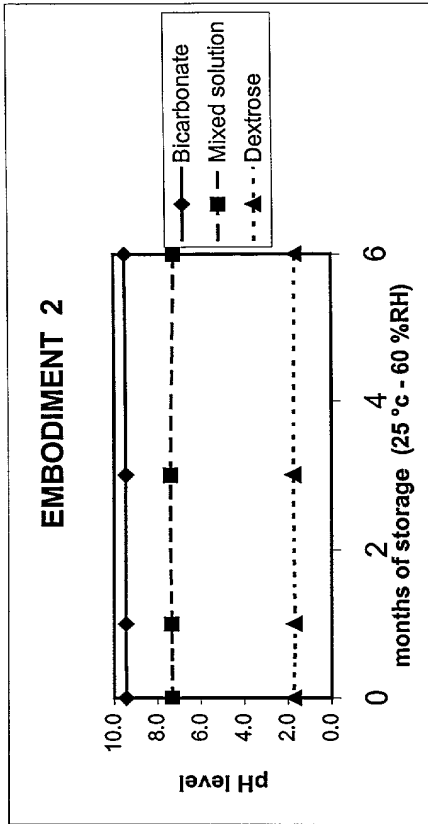


Fig. 4



COMBINED DECLARATION AND POWER OF ATTORNEY
IN ORIGINAL APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "BICARBONATE-BASED SOLUTION IN TWO PARTS FOR PERITONEAL DIALYSIS OR SUBSTITUTION IN CONTINUOUS RENAL REPLACEMENT THERAPY"

the specification of which; (check one)

☒ [X] is attached hereto.

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Serial Number _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>N/A</u>	_____	_____	[]	[]
(Number)	(Country)	(Day/Month/Year Filed)		
_____	_____	_____	[]	[]
(Number)	(Country)	(Day/Month/Year Filed)		
_____	_____	_____	[]	[]
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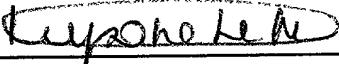

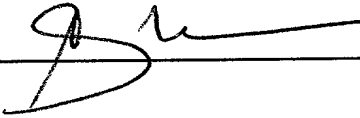
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Charles Mattenson (Reg. No. 30,660); Paula J. Kelly (Reg. No. 37,624); and Robert M. Barrett (Reg. No. 30,142)

Address all telephone calls to Paula J. Kelly, Esq.
at telephone number 847/948-3866.

Address all correspondence to Paula J. Kelly, Esq., Baxter International Inc., Renal Division, One Baxter Parkway, DF3-3E, Deerfield, IL 50015-4633

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IN ORIGINAL APPLICATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First Inventor Annick Duponchelle	Inventor's Signature 	Date 02 SEP. 1999
Residence Bruxelles, Belgium	Citizenship Belgium	
Address rue F. Desmedt 87, B-1150 Bruxelles, Belgium		
Full Name of Second Inventor Dirk Faict	Inventor's Signature 	Date 02 SEP 1999
Residence Assenede, Belgium	Citizenship Belgium	
Address Gravenstraat 1, B-9968 Assenede, Belgium		
Full Name of Third Inventor Patrick Balteau	Inventor's Signature 	Date 02 SEP 1999
Residence Bothey, Belgium	Citizenship Belgium	
Address rue Louis Burteau 69, B-5032 Bothey, Belgium		

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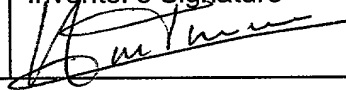
Docket No. DI-5417

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Full Name of Fourth Inventor

Jean-Pierre Hartman

Inventor's Signature



Date

02 Sept 1999

Residence

Rhode-St-Genèse, Belgium

Citizenship

Belgium

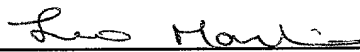
Address

rue de la Ferme 21, B-1640 Rhode-St-Genèse, Belgium

Full Name of Fifth Inventor

Leo Martis

Inventor's Signature



Date

Sept. 9, 1999

Residence

Long Grove, Illinois

Citizenship

United States

Address

5524 Oldwood, Long Grove, IL 60047

Full Name of Sixth Inventor

Francesco Peluso

Inventor's Signature



Date

02 SEP 1999

Residence

Heverlee, Belgium

Citizenship

Belgium

Address

Verbindingslaan 70, B-3001 Heverlee, Belgium

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